

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

Docket No.
33203

In Re Application Of: **FLAIM et al.**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/758,503	January 15, 2004	Ronesi, Vickey M.	23589	1714	6150

Invention: **HYBRID ORGANIC-INORGANIC POLYMER COATINGS WITH HIGH REFRACTIVE INDICES**



COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

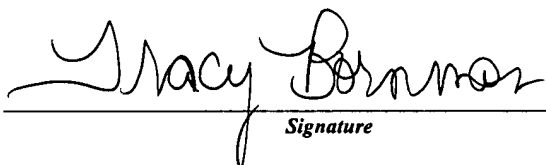
Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

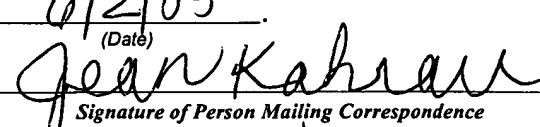
- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.


Signature

Dated: June 2, 2005

Certificate of Mailing by First Class Mail

Tracy L. Bornman, Reg. No. 42,347
HOVEY WILLIAMS LLP
2405 Grand Boulevard, Suite 400
Kansas City, Missouri 64108
816/474-9050
ATTORNEYS FOR APPLICANTS

I certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on 6/2/05.
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Jean Kahra
Typed or Printed Name of Person Mailing Correspondence

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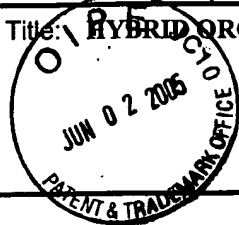
TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
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10/758,503	January 15, 2004	Ronesi, Vickey M.	23589	1714	6150

Title: **HYBRID ORGANIC-INORGANIC POLYMER COATINGS WITH HIGH REFRACTIVE INDICES**



Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **19-0522** as described below.
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- ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

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June 2, 2005
(Date)

(Date)

Signature of Person Mailing Correspondence

Jean Kahrau

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.

Dated: June 2, 2005

Tracy Bornman, Reg. No. 42,347
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CC:

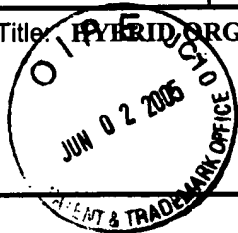
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Title: **HYBRID ORGANIC-INORGANIC POLYMER COATINGS WITH HIGH REFRACTIVE INDICES**



Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☒ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).



06-03-05

1/11

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

FLAIM, et al.

Serial No.: 10/758,503

Filed: January 15, 2004

HYBRID ORGANIC-INORGANIC POLYMER
COATINGS WITH HIGH REFRACTIVE
INDICES

Docket No.: 33203

Confirmation No.: 6150

Group Art Unit No.: 1714

Customer No.: 23589

Examiner: Ronesi, Vickey M.

TRANSMITTAL

Transmitted herewith are: Express Mail Transmittal (1 page); Information Disclosure Statement (1 page); Transmittal of Information Disclosure Statement (2 pages); Statement Under 37 CFR 1.97(e) Accompanying Information Disclosure Statement (1 page); Information Disclosure Statement by Applicant (PTO-1449) (1 page); and return postcard.

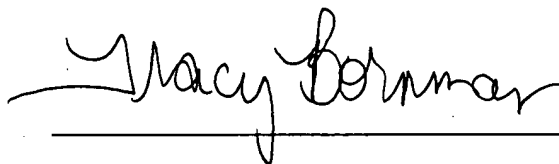
EV 597772406 US
Express Mail No.

Respectfully submitted,

HOVEY WILLIAMS LLP

Dated: June 2, 2005

by



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Kansas City, Missouri 64108
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ATTORNEYS FOR APPLICANT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

FLAIM, et al.

Serial No.: 10/758,503

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MER COATINGS WITH HIGH REFRACTIVE INDICES

Docket No.: 33203

Confirmation No.: 6150

Group Art Unit No.: 1714

Customer No.: 23589

Examiner: Ronesi, Vickey M.

Assistant Commissioner of Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT

The attached references are being filed to fulfill the duty of candor and good faith toward the Patent and Trademark Office, as required by 37 C.F.R. §1.56.

Any fee which is due in connection with this Statement should be applied against Deposit Account No. 19-0522.

Respectfully submitted,

By Tracy Bornman

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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Rev. 2-32) PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	ATTY. DOCKET NO. 33203		SERIAL NO. 10/758,503	
	APPLICANT: FLAIM, et al.			
	FILING DATE: January 15, 2004		GROUP: 1714	CONF. NO: 6150

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

OTHER DOCUMENTS (Including Publisher, Author, Title, Relevant Pages, and Date and Place of Publication)

			Macromolecules, Wang, B. et al., "New High Refractive Index Organic/Inorganic Hybrid Materials from Sol-Gel Processing," Vol. 24, No. 11, pp. 3449-3450, 1991.

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.